ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 1 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Attendee)

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: GEORGE BROWN	Date of Meeting: August 14, 2019
Occupation: DUKE REGULATED RENEWABLES	▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
Attending on behalf of/for:	Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

This concludes my Certified Statement.

Signature of Briefing Attendee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 2 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 3 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Commissioner/Commission Employee)

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Melissa Purvis	Date of Meeting: Wednesday, August 14, 2019
PSC Position Title:	• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
	Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.

Melissa R Pugris

Signature of South Carolina Public Service Commissioner or Commission Employee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 4 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 5 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Commissioner/Commission Employee)

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: William O. Richardson	Date of Meeting: Wednesday, August 14, 2019
PSC Position Title: Engineer IV	• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
	Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.

Wm. Richardson

Signature of South Carolina Public Service Commissioner or Commission Employee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 6 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 7 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Commissioner/Commission Employee)

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Jacqueline Thomas JACQUELINE THOMAS	Date of Meeting: Wednesday, August 14, 2019
PSC Position Title: ITSS	• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
	Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.

JACQUELINE [illegible]

Signature of South Carolina Public Service Commissioner or Commission Employee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 8 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 9 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Attendee)

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: JACOB COLLEY	Date of Meeting: August 14, 2019
Occupation:	▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
Attending on behalf of/for: DUKE ENERGY	Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

This concludes my Certified Statement.

Signature of Briefing Attendee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 10 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 11 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Attendee)

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Heather Shirley Smith	Date of Meeting: August 14, 2019
Occupation: lawyer	▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
Attending on behalf of/for: Duke Energy	Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

This concludes my Certified Statement.

Signature of Briefing Attendee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 12 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 13 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Attendee)

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Jacqueline Wilson	Date of Meeting: August 14, 2019
Occupation: Sr Legal Admin Asst Duke Energy	▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
Attending on behalf of/for: Duke Energy	Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

This concludes my Certified Statement.

Jacqueline Wilson

Signature of Briefing Attendee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 14 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 15 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Attendee)

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Marcus Preston	Date of Meeting: August 14, 2019
Occupation: Director Regulatory Affairs	▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
Attending on behalf of/for: Duke Energy	Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

This concludes my Certified Statement.

Signature of Briefing Attendee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 16 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 17 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Attendee)

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Leigh Ford	Date of Meeting: August 14, 2019
Occupation: Consultant	▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
Attending on behalf of/for: Duke Energy	Matter: KEY COMPONENTS OF ACT 62

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

This concludes my Certified Statement.

Hugh C. Ford

Signature of Briefing Attendee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 18 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 19 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Attendee)

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Frank Ellerbe	Date of Meeting: August 14, 2019
Occupation: Lawyer	▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
Attending on behalf of/for: Duke Energy	Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]

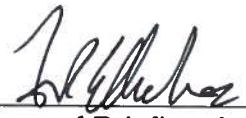
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

This concludes my Certified Statement.



Signature of Briefing Attendee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 20 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 21 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Attendee)

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Nanette Edwards	Date of Meeting: August 14, 2019
Occupation: attorney	▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
Attending on behalf of/for: ORS	Matter: KEY COMPONENTS OF ACT 62

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

This concludes my Certified Statement.

Signature of Briefing Attendee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 22 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 23 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Attendee)

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: JASON D. MARTIN	Date of Meeting: August 14, 2019
Occupation: Director - Strategy + Policy Distributed Energy Technology	▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
Attending on behalf of/for: Duke Energy	Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]

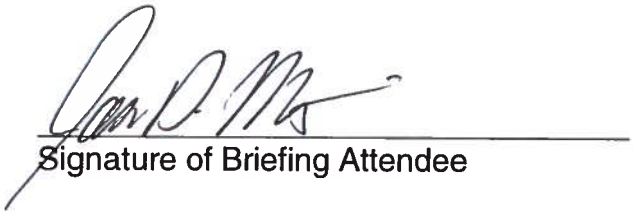
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

This concludes my Certified Statement.



Signature of Briefing Attendee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 24 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 25 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Commissioner/Commission Employee)

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Jerisha Dukes	Date of Meeting: Wednesday, August 14, 2019
PSC Position Title: Staff Attorney	• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
	Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.

Signature of South Carolina Public Service Commissioner or Commission Employee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 26 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 27 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Attendee)

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: GARY WALSH	Date of Meeting: August 14, 2019
Occupation: CONSULTANT	▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
Attending on behalf of/for: DEC/DEP	Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

This concludes my Certified Statement.

Signature of Briefing Attendee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 28 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 29 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Commissioner/Commission Employee)

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: STEVEN W. HAMM	Date of Meeting: Wednesday, August 14, 2019
PSC Position Title: Special Counsel and Senior Advisor	• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
	Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 30 of 58

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.

Signature of South Carolina Public Service Commissioner or Commission Employee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 31 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 32 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 33 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Attendee)

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Dawn Hipp	Date of Meeting: August 14, 2019
Occupation: COO	▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
Attending on behalf of/for: SC ORS	Matter: KEY COMPONENTS OF ACT 62

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 34 of 58

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

This concludes my Certified Statement.

Signature of Briefing Attendee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 35 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 36 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 37 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Commissioner/Commission Employee)

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: B. Randall Dong	Date of Meeting: Wednesday, August 14, 2019
PSC Position Title: Staff Attorney	• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
	Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.

Signature of South Carolina Public Service Commissioner or Commission Employee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 38 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 39 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Commissioner/Commission Employee)

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: David Stark	Date of Meeting: Wednesday, August 14, 2019
PSC Position Title: Staff Attorney	• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
	Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.

Signature of South Carolina Public Service Commissioner or Commission Employee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 40 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 41 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Attendee)

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: KODWO GHARTEY-TAGOE	Date of Meeting: August 14, 2019
Occupation: STATE PRESIDENT	▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
Attending on behalf of/for: DUKE ENERGY CORP	Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

This concludes my Certified Statement.

Signature of Briefing Attendee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 42 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 43 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Commissioner/Commission Employee)

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Jo Wheat	Date of Meeting: Wednesday, August 14, 2019
PSC Position Title: Court Reporter	• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
	Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.

Signature of South Carolina Public Service Commissioner or Commission Employee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 44 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 45 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Commissioner/Commission Employee)

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: John E. Howard	Date of Meeting: Wednesday, August 14, 2019
PSC Position Title: Commissioner	• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
	Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.

Signature of South Carolina Public Service Commissioner or Commission Employee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 46 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 47 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Commissioner/Commission Employee)

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Florence P. Belser	Date of Meeting: Wednesday, August 14, 2019
PSC Position Title: Commissioner	• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
	Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.

Signature of South Carolina Public Service Commissioner or Commission Employee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 48 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 49 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Commissioner/Commission Employee)

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Joseph M. Melchers	Date of Meeting: Wednesday, August 14, 2019
PSC Position Title: General Counsel	• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
	Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.

Signature of South Carolina Public Service Commissioner or Commission Employee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 50 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 51 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Commissioner/Commission Employee)

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: ~~Thomas J. Ervin~~ COMER RANDALL	Date of Meeting: Wednesday, August 14, 2019
PSC Position Title: Commissioner Chairman	• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E) Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.

Signature of South Carolina Public Service Commissioner or Commission Employee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 52 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 53 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Commissioner/Commission Employee)

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Thomas J. Ervin	Date of Meeting: Wednesday, August 14, 2019
PSC Position Title: Commissioner	• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
	Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.

Thomas J. Ervin

Signature of South Carolina Public Service Commissioner or Commission Employee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 54 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 55 of 58

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Commissioner/Commission Employee)

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Justin T. Williams	Date of Meeting: Wednesday, August 14, 2019
PSC Position Title: Commission Vice Chairman	• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing (DOCKET NOS. 2019-169-E; 2019-170-E; 2019-182-E; 2019-185-E; 2019-186-E; 2019-195-E; 2019-196-E; 2019-207-E; 2019-208-E; 2019-210-E; 2019-211-E; 2019-224-E; 2019-225-E)
	Matter: **KEY COMPONENTS OF ACT 62**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 56 of 58

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.

Signature of South Carolina Public Service Commissioner or Commission Employee

Date: August 14, 2019

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 57 of 58

ACCEPTED FOR PROCESSING - 2019 August 19 4:36 PM - SCPSC - 2019-186-E - Page 58 of 58