

ALLOWABLE EX PARTE COMMUNICATION BRIEFING CERTIFIED STATEMENT (Attendee)

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: <i>GARY WALSH</i>	Date of Meeting: September 25, 2019
Occupation: <i>CONSULTING</i>	▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E)
Attending on behalf of/for: <i>DUKE ENERGY</i>	Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

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3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

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Name: <i>Heather Shultz Smith</i>	Date of Meeting: September 25, 2019
Occupation: <i>attorney</i>	<ul style="list-style-type: none"> ▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E)
Attending on behalf of/for: <i>Duke</i>	Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT

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Name: <i>Adam Nygaard</i>	Date of Meeting: September 25, 2019
Occupation: <i>Business Development Manager</i>	<ul style="list-style-type: none"> ▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E)
Attending on behalf of/for: <i>Duke</i>	Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT

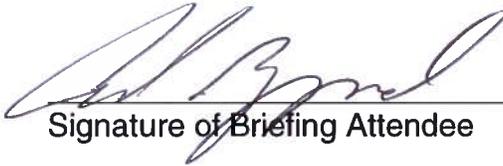
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Name: Jerisha Dukes	Date of Meeting: September 25, 2019
PSC Position Title: Staff Attorney	<ul style="list-style-type: none"> ▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E)
	Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT

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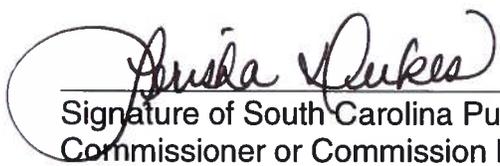
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Name: <i>Samuel Wellborn</i>	Date of Meeting: September 25, 2019
Occupation: <i>Attorney</i>	▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E)
Attending on behalf of/for: <i>Self</i>	Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT

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Name: B. Randall Dong	Date of Meeting: September 25, 2019
PSC Position Title: Staff Attorney	<ul style="list-style-type: none"> ▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E) <p>Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT</p>

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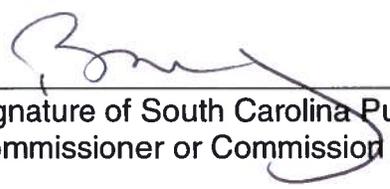
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Name: <i>Michael Seaman-Huynh</i>	Date of Meeting: September 25, 2019
Occupation: <i>Deputy Director</i>	▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E)
Attending on behalf of/for: <i>ORS</i>	Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT

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Name: <i>NORMAN SCARBOROUGH</i>	Date of Meeting: September 25, 2019
PSC Position Title: <i>EXEC ASST II</i>	<ul style="list-style-type: none"> ▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E) <p>Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT</p>

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Name: Randy Erskine	Date of Meeting: September 25, 2019
PSC Position Title: <div style="font-family: cursive; font-size: 1.2em; margin-top: 10px;">IT MANAGER</div>	<ul style="list-style-type: none"> ▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E) <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT

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Name: John Powers	Date of Meeting: September 25, 2019
PSC Position Title: Accounting Director	<ul style="list-style-type: none"> ▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E) <p>Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT</p>

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Name: Hope Adams	Date of Meeting: September 25, 2019
PSC Position Title: Administrative Coordinator	<ul style="list-style-type: none"> ▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E) <p>Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT</p>

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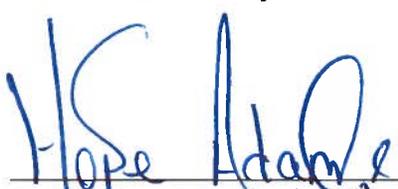
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Name: William Richardson	Date of Meeting: September 25, 2019
PSC Position Title: Engineer IV	<ul style="list-style-type: none"> ▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E)
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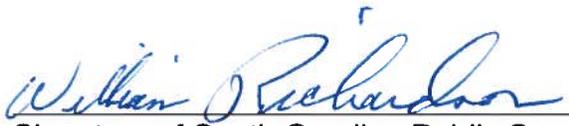
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This concludes my Certified Statement.


Signature of South Carolina Public Service
Commissioner or Commission Employee

Date: September 25, 2019

**ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
 (Commissioner/Commission Employee)**

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Jo Wheat	Date of Meeting: September 25, 2019
PSC Position Title: Court Reporter	<ul style="list-style-type: none"> ▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E) <p>Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT</p>

By signing this Certification, I certify that:

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Name: Melissa Purvis	Date of Meeting: September 25, 2019
PSC Position Title:	▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E)
	Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT

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Name: <i>Marcus Preston</i>	Date of Meeting: September 25, 2019
Occupation: <i>Director Regulatory Affairs</i>	▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E)
Attending on behalf of/for: <i>Duke Energy</i>	<u>Matter:</u> DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT

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Name: <i>Thomas McGill</i>	Date of Meeting: September 25, 2019
Occupation: <i>Program Coordinator</i>	▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E)
Attending on behalf of/for: <i>ORS</i>	Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT

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Name: David Stark	Date of Meeting: September 25, 2019
PSC Position Title: Staff Attorney	<ul style="list-style-type: none"> ▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E) <p>Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT</p>

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Signature of South Carolina Public Service
Commissioner or Commission Employee

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Name: <i>Tom Allen</i>	Date of Meeting: September 25, 2019
Occupation: <i>Director - Safety, Trans & Telecom</i>	<ul style="list-style-type: none"> ▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E)
Attending on behalf of/for: <i>Office of Regulatory Staff</i>	Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT

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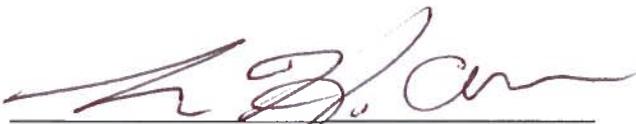
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A handwritten signature in red ink, appearing to read "H. B. Am", written over a horizontal line.

Signature of Briefing Attendee

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Name: Douglas Pratt	Date of Meeting: September 25, 2019
PSC Position Title:	▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E)
	Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT

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Signature of South Carolina Public Service
Commissioner or Commission Employee

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Name: <i>JASON D. MARTIN</i>	Date of Meeting: September 25, 2019
Occupation: <i>Director</i>	▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E)
Attending on behalf of/for: <i>Duke ENERGY</i>	Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT

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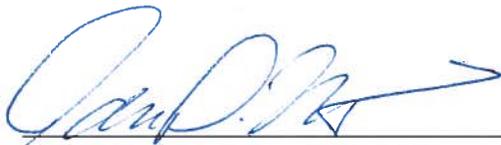
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Signature of Briefing Attendee

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Name: Justin T. Williams	Date of Meeting: September 25, 2019
PSC Position Title: Commission Vice Chairman	<ul style="list-style-type: none"> ▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E) <p>Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT</p>

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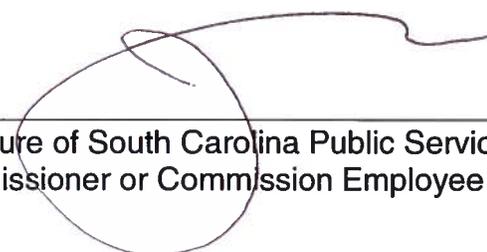
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Name: Thomas J. Ervin	Date of Meeting: September 25, 2019
PSC Position Title: Commissioner	▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E)
	Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT

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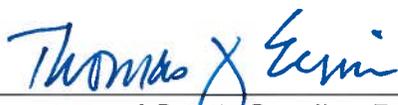
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Name: Swain E. Whitfield	Date of Meeting: September 25, 2019
PSC Position Title: Commissioner	<ul style="list-style-type: none"> ▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E) <p>Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT</p>

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- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Comer H. Randall	Date of Meeting: September 25, 2019
PSC Position Title: Commission Chairman	<ul style="list-style-type: none"> ▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E) <p><u>Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT</u></p>

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

<ul style="list-style-type: none"> • <i>Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter</i>

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]
- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.

A handwritten signature in cursive script, appearing to read "G. H. Randall", is written over a horizontal line.

Signature of South Carolina Public Service
Commissioner or Commission Employee

Date: September 25, 2019

**ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
 (Commissioner/Commission Employee)**

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Florence P. Belser	Date of Meeting: September 25, 2019
PSC Position Title: Commissioner	<ul style="list-style-type: none"> ▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E) <p>Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT</p>

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

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This concludes my Certified Statement.



Signature of South Carolina Public Service
Commissioner or Commission Employee

Date: September 25, 2019

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- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: G. O'Neal Hamilton	Date of Meeting: September 25, 2019
PSC Position Title: Commissioner	<ul style="list-style-type: none"> ▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E) <p><u>Matter:</u> DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT</p>

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

<ul style="list-style-type: none"> • <i>Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter</i>

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This concludes my Certified Statement.



Signature of South Carolina Public Service
Commissioner or Commission Employee

Date: September 25, 2019

**ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
 (Commissioner/Commission Employee)**

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- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Joseph M. Melchers	Date of Meeting: September 25, 2019
PSC Position Title: General Counsel	▪ Request by Duke Energy Carolinas, LLC for an Allowable Ex Parte Briefing (ND - 2019-21-E)
	Matter: DUKE ENERGY CAROLINAS, LLC'S ANDERSON, SOUTH CAROLINA ENERGY STORAGE AND MICROGRID PROJECT

By signing this Certification, I certify that:

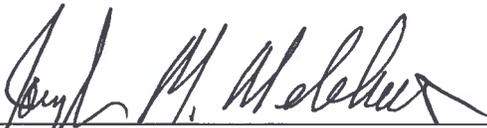
1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

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This concludes my Certified Statement.



Signature of South Carolina Public Service
Commissioner or Commission Employee

Date: September 25, 2019