ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 1 of 78

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Attendee)

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Kim Smith	Date of Meeting: Tuesday, February 19, 2019
Occupation: CPA	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
Attending on behalf of/for: Duke	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

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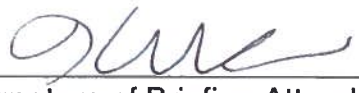

Signature of Briefing Attendee

Date: February 19, 2019

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 2 of 78

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 3 of 78

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Name: Carol Shrum	Date of Meeting: Tuesday, February 19, 2019
Occupation:	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
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ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 5 of 78

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Name: T. Cooper Monroe, III	Date of Meeting: Tuesday, February 19, 2019
Occupation:	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
Attending on behalf of/for: Duke Energy	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

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ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 6 of 78

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 7 of 78

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Name: William Richardson	Date of Meeting: Tuesday, February 19, 2019
PSC Position Title: ENG. IV	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

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Wm. Richardson

Signature of South Carolina Public Service Commissioner or Commission Employee

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Name: Heather Smith	Date of Meeting: Tuesday, February 19, 2019
Occupation: lawyer	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
Attending on behalf of/for: Duke Energy	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

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ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 10 of 78

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Name: Kimberly Walton	Date of Meeting: Tuesday, February 19, 2019
Occupation: Paralegal	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
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Name: Hope H. Adams	Date of Meeting: Tuesday, February 19, 2019
PSC Position Title: Adminstrative Coordinator	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

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Signature of South Carolina Public Service Commissioner or Commission Employee

Date: February 19, 2019

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Name: KEDRIC GHARLEY-TAGOE	Date of Meeting: Tuesday, February 19, 2019
Occupation: STATE PRESIDENT, DUKE ENERGY SC	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
Attending on behalf of/for: DUKE ENERGY CORP.	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

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Name: Kayce Tackett, CVR-M	Date of Meeting: Tuesday, February 19, 2019
Occupation: Court reporter	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
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Name: Lauren Wilson	Date of Meeting: Tuesday, February 19, 2019
Occupation: Court Reporter in training	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
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Name: Samuel Wellborn	Date of Meeting: Tuesday, February 19, 2019
Occupation: Attorney	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
Attending on behalf of/for: Duke Energy	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

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Name: Dawn Hipp	Date of Meeting: Tuesday, February 19, 2019
Occupation: COO	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
Attending on behalf of/for: SC ORS	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

By signing this Certification, I certify that:

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2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

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3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

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Signature of Briefing Attendee

Date: February 19, 2019

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 24 of 78

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 25 of 78

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Attendee)

THIS CERTIFICATION IS TO:

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- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Marcus Preston	Date of Meeting: Tuesday, February 19, 2019
Occupation: Director Regulatory Affairs	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
Attending on behalf of/for: Duke Energy	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

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Marcus Rec

Signature of Briefing Attendee

Date: February 19, 2019

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 26 of 78

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 27 of 78

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Name: Randy Erskine	Date of Meeting: Tuesday, February 19, 2019
PSC Position Title:	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

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Signature of South Carolina Public Service Commissioner or Commission Employee

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ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 28 of 78

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Name: Amanda Golebiowski	Date of Meeting: Tuesday, February 19, 2019
PSC Position Title:	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

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Amanda Holbrook

Signature of South Carolina Public Service Commissioner or Commission Employee

Date: February 19, 2019

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 30 of 78

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 31 of 78

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Name: Laura Bateman	Date of Meeting: Tuesday, February 19, 2019
Occupation: Director of Rates	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
Attending on behalf of/for: Duke Energy	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

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Signature of Briefing Attendee

Date: February 19, 2019

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 32 of 78

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 33 of 78

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Name: Douglas Pratt	Date of Meeting: Tuesday, February 19, 2019
PSC Position Title:	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

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Signature of South Carolina Public Service Commissioner or Commission Employee

Date: February 19, 2019

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 34 of 78

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Name: John Powers	Date of Meeting: Tuesday, February 19, 2019
PSC Position Title: Fiscal Advisor	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
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Name: Josh Minges	Date of Meeting: Tuesday, February 19, 2019
PSC Position Title: Staff Attorney	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
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Name: Patricia Stephens	Date of Meeting: Tuesday, February 19, 2019
PSC Position Title: Project Manager	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
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Patricia Stephens

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Name: B. Randall Dong	Date of Meeting: Tuesday, February 19, 2019
PSC Position Title: Staff Attorney	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
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Name: Robert Bockman, Jr.	Date of Meeting: Tuesday, February 19, 2019
PSC Position Title: Public Information Director	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

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1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

• *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

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4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.

Signature of South Carolina Public Service Commissioner or Commission Employee

Date: February 19, 2019

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 44 of 78

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 45 of 78

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Commissioner/Commission Employee)

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Jerisha Dukes	Date of Meeting: Tuesday, February 19, 2019
PSC Position Title: Staff Attorney	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

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Signature of South Carolina Public Service Commissioner or Commission Employee

Date: February 19, 2019

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 46 of 78

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 47 of 78

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Attendee)

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Name: Nanette Edwards	Date of Meeting: Tuesday, February 19, 2019
Occupation:	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
Attending on behalf of/for:	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

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Date: February 19, 2019

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 48 of 78

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 49 of 78

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Name: Tim Duff	Date of Meeting: Tuesday, February 19, 2019
Occupation: GM: Regulatory Strategy and Evaluation	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
Attending on behalf of/for: Duke Energy	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

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Date: February 19, 2019

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 50 of 78

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 51 of 78

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Name: Melissa Purvis	Date of Meeting: Tuesday, February 19, 2019
PSC Position Title:	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

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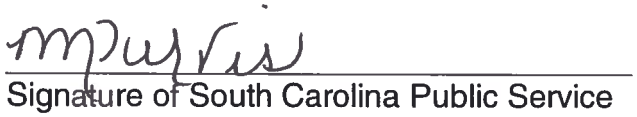

Signature of South Carolina Public Service Commissioner or Commission Employee

Date: February 19, 2019

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 52 of 78

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 53 of 78

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Attendee)

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Name: Sarah Johnson	Date of Meeting: Tuesday, February 19, 2019
Occupation: Deputy Director Utility Services	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
Attending on behalf of/for: ORS	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

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Signature of Briefing Attendee

Date: February 19, 2019

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ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 55 of 78

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
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Name: Joseph M. Melchers	Date of Meeting: Tuesday, February 19, 2019
PSC Position Title: General Counsel	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
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Date: February 19, 2019

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Name: Comer H. Randall	Date of Meeting: Tuesday, February 19, 2019
PSC Position Title: Commission Chairman	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
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Name: Florence P. Belser	Date of Meeting: Tuesday, February 19, 2019
PSC Position Title: Commissioner	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
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Name: ROBERT LAWYER	Date of Meeting: Tuesday, February 19, 2019
Occupation: SR. Regulatory Mgr.	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
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Name: David Stark	Date of Meeting: Tuesday, February 19, 2019
PSC Position Title: Staff Attorney	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
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4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.

Signature of South Carolina Public Service Commissioner or Commission Employee

Date: February 19, 2019

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 64 of 78

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 65 of 78

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Commissioner/Commission Employee)

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Justin T. Williams	Date of Meeting: Tuesday, February 19, 2019
PSC Position Title: Commissioner	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

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Signature of South Carolina Public Service Commissioner or Commission Employee

Date: February 19, 2019

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 66 of 78

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 67 of 78

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
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Name: Thomas J. Ervin	Date of Meeting: Tuesday, February 19, 2019
PSC Position Title: Commissioner	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

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This concludes my Certified Statement.

Thomas J. Ellis

Signature of South Carolina Public Service Commissioner or Commission Employee

Date: February 19, 2019

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 68 of 78

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 69 of 78

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
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Name: John E. Howard	Date of Meeting: Tuesday, February 19, 2019
PSC Position Title: Commissioner	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]

2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

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Signature of South Carolina Public Service Commissioner or Commission Employee

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ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 70 of 78

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 71 of 78

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Name: Swain E. Whifield	Date of Meeting: Tuesday, February 19, 2019
PSC Position Title: Commissioner	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

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This concludes my Certified Statement.

Swain Whitfield

Signature of South Carolina Public Service Commissioner or Commission Employee

Date: February 19, 2019

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 72 of 78

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 73 of 78

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Attendee)

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Name: Frank Ellerbe	Date of Meeting: Tuesday, February 19, 2019
Occupation: Lawyer	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
Attending on behalf of/for: Duke Energy	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

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Signature of Briefing Attendee

Date: February 19, 2019

ACCEPTED FOR PROCESSING - 2019 February 22 4:43 PM - SCPSC - 2013-298-E - Page 74 of 78

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Name: Lynda Shaster	Date of Meeting: Tuesday, February 19, 2019
Occupation: Strategy & Collaboration Manager, Duke Energy	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
Attending on behalf of/for: Duke Energy	Matter: **PROPOSED REVISIONS TO THE RESIDENTIAL SMART $AVER EE PROGRAMS & UPDATE ON THE TAX CUTS AND JOBS ACT**

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Date: February 19, 2019

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Name: Jo Wheat	Date of Meeting: Tuesday, February 19, 2019
PSC Position Title: Court Rptr - PSC	Docket No. 2013-298-E – Application Duke Energy Carolinas, LLC for Approval of New Cost Recovery Mechanism and Portfolio of Demand-Side Management and Energy Efficiency Programs Docket No. 2016-149-E – Application of Duke Energy Progress, LLC Requesting Approval of Its Proposed Home Energy Improvement Program HEIP-6 Docket No. 2017-381-A – Office of Regulatory Staff's Petition for an Order Requiring Utilities to Report the Impact of the Tax Cuts and Jobs Act
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