



Timika Shafeek-Horton
Deputy General Counsel

550 South Tryon Street
Charlotte, NC 28202

Mailing Address:
DEC 45A/PO Box 1321
Charlotte, NC 28201

o: 704 382 6373
f: 980 373 8534

July 30, 2013

Timika.Shafeek-Horton@duke-energy.com

The Honorable Jocelyn G. Boyd
Chief Clerk/Administrator
Public Service Commission of South Carolina
101 Executive Center Drive, Suite 100
Columbia, SC 29210

**Re: Application Regarding the Acquisition of Progress Energy, Incorporated by Duke Energy Corporation and Merger of Progress Energy Carolinas, Incorporated and Duke Energy Carolinas, LLC
Docket No. 2011-158-E**

Dear Mrs. Boyd:

In Order No. 2012-517 Approving Joint Dispatch Agreement (“JDA”), the Public Service Commission of South Carolina (“Commission”) conditioned its approval of the JDA on, among other things, the commitment of Duke Energy Carolinas, LLC (“DEC”) and Duke Energy Progress, Inc. (“DEP”) to file with the Commission all reports that the North Carolina Utilities Commission required DEC and DEP to file in *Order Approving Merger Subject to Regulatory Conditions and Code of Conduct*, issued June 29, 2012, in Docket Nos. E-2, Sub 998 and E-7, Sub 986. (Order Approving JDA at 43.)

Pursuant to Regulatory Condition 3.11(a) and (b), on a quarterly basis, DEC and DEP must file (a) a list of all active dockets at the Federal Energy Regulatory Commission (“FERC”) in which DEC, DEP, Duke Energy Corporation (“Duke Energy”), Duke Energy Business Services, LLC (“DEBS”), or Progress Energy Service Company, LLC (“PESC”) is a party with new information in each quarterly filing tracked; and (b) a list of the periodic reports filed by DEC, DEP, Duke Energy, DEBS or PESC with the FERC.

Attachment A to this letter lists all active FERC dockets as of June 30, 2013, to which DEC, DEP, Duke Energy, or DEBS is a party. Attachment B to this letter lists the periodic reports filed by DEC, DEP, Duke Energy, and DEBS with the FERC during the first quarter of 2013. Black-line versions of Attachments A and B indicating the changes since the last filing are

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also attached. The referenced dockets and reports can be accessed from the FERC eLibrary system at <http://elibrary.ferc.gov>.

Please feel free to contact me should there be any questions or issues.

Sincerely,

A handwritten signature in black ink, reading "Timika Shafeek-Horton". The signature is written in a cursive style with a large initial "T".

Timika Shafeek-Horton
Deputy General Counsel

TSH/gw

Attachments

cc: Shannon Bowyer Hudson, ORS
Courtney Edwards, ORS
John Flitter, ORS

Attachment A

Open FERC Dockets – SecondFirst Quarter 2013

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
EC11-60	Duke Energy, Progress Energy, DEC, DEBS, DEPEG and PESC	Application of Duke Energy, Progress Energy, and their public utility subsidiaries for disposition of facilities under section 203 of the Federal Power Act; FMPA, New Bern/Rocky Mount and Orangeburg requested rehearing on 7/9/12; Duke Energy and Progress Energy filed a Notice of Consummation of Merger on 7/11/12; and FERC order granted the requests for rehearing for further consideration on 8/8/12. No activity in <u>secondfirst</u> quarter 2013 other than Independent Monitor Reports filed.	Q2+ 2013
ER12-1342	Duke Energy; DEC, DEBS	Power sales agreement related to revised mitigation filing in Docket No. EC11-60. DEC filed a compliance filing on 7/2/12; Orangeburg requested rehearing on 7/9/12; and FERC order granted the requests for rehearing for further consideration on 8/8/12. FERC order accepted the compliance filing on 11/6/13. (Closed 3/31/13)	Q1 2013
ER12-1338, ER12-1347, ER12-3306	DEC, DEPEG	Joint Dispatch Agreement (JDA) between DEC and DEPEG and the DEPEG Certificate of Concurrence with JDA: Orangeburg requested rehearing on 7/9/12; DEC / DEPEG filed compliance filings on 7/11/12; and FERC order granted the requests for rehearing for further consideration on 8/8/12. No activity in <u>secondfirst</u> quarter 2013.	Q2+ 2013
ER12-1343, ER12-1345, ER12-1346, ER11-3307	DEC, DEPEG	Joint Open Access Transmission Tariff (OATT) and the DEPEG Certificate of Concurrence with Joint OATT: Orangeburg requested rehearing on 7/9/12; DEC / DEPEG filed compliance filings on 7/11/12; FMPA intervened on 8/1/12; FERC order granted the request for rehearing for further consideration on 8/8/12. No activity in <u>secondfirst</u> quarter 2013.	Q2+ 2013

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Open FERC Dockets – SecondFirst Quarter 2013

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER12-1339	PEG	Master Power Purchase Agreement with EDF Trading, LLC, Rate Schedule No-102, related to revised market mitigation filing in Docket No- EC11-60. PEG filed compliance filing on 7/2/12; Orangeburg requested rehearing on 7/9/12; and FERC order granted the request for rehearing for further consideration on 8/8/12. FERC order accepted the compliance filing on 1/16/13. (Closed 3/31/13)	Q1 2013
ER12-1340	PEG	Master Power Purchase Agreement with Cargill Power Markets, LLC, Rate Schedule No-103, related to revised market mitigation filing in Docket No- EC11-60. PEG filed compliance filing on 7/2/12; Orangeburg requested rehearing on 7/9/12; and FERC order granted the request for rehearing for further consideration on 8/8/12. FERC order accepted the compliance filing on 1/16/13. (Closed 3/31/13)	Q1 2013
ER12-1344	PEG	Master Power Purchase Agreement with Morgan Stanley Capital Group Inc., Rate Schedule No-104, related to revised market mitigation filing in Docket No- EC11-60. PEG filed compliance filing on 7/2/12; Orangeburg requested rehearing on 7/9/12; and FERC order granted the request for rehearing for further consideration on 8/8/12. FERC order accepted the compliance filing on 1/16/13. (Closed 3/31/13)	Q1 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
RM12-4	Duke Energy	Notice of Proposed Rulemaking to approve NERC Reliability Standard FAC-003-2, Transmission Vegetation Management: Duke Energy submitted comments on 12/21/12. FERC issued Order No. 777 on 3/21/13, Final Rule expanding the applicability of FAC-003-2 to include certain transmission lines that operate at less than 200 kV that have been identified as having system limitations (i.e. voltage or transfer limits) that if violated could lead to system instability or cascading outages, 2) setting a new minimum annual inspection requirement and 3) incorporating new minimum clearance distances into the text of the standard, to become effective 5/28/13. FERC issued errata notice on 4/9/13 re deadline for NERC compliance filing.	Q2+ 2013
RM12-10	Duke Energy	Solar Energy Industries Association petition to FERC to institute a rulemaking proceeding to update small generator interconnection rules and procedures for solar electric generation: Duke Energy submitted comments on 3/27/12; various parties intervened and commented during second and third quarters 2012; CA PUC submitted additional comments in fourth quarter 2012. FERC held a workshop on 3/27/13. Clean Coalition submitted comments on 6/3/13. Since FERC issued a Notice of Proposed Rulemaking in Docket RM13-2, this docket is considered closed. (Closed 6/30/13)	Q2+ 2013
RM12-22	Duke Energy	Notice of Proposed Rulemaking (NOPR) that directs NERC to submit for approval Reliability Standards addressing the impact of geomagnetic disturbances (GMD) on the reliable operation of the Bulk-Power System: NOPR was issued on 10/18/12; Duke Energy submitted comments on 12/21/12; various parties filed comments during first and second quarters 2013. On 5/16/13 FERC issued a Final Rule requiring NERC to develop reliability standards addressing the impact of GMD to ensure continued reliability of the bulk power system.	Q2+ 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
RM13-2	Duke Energy	Notice of Proposed Rulemaking to revise the Small Generator Interconnection Procedures (SGIP) and Small Generator Interconnection Agreement in the <i>pro forma</i> OATT to 1) allow an Interconnection Customer to request a pre-application report about system conditions at possible Points of Interconnection, 2) revise the 2 MW threshold for participation in the Fast Track Process, 3) allow supplemental review following failure of Fast Track screens, and 4) revise the SGIP Facilities Study Agreement to allow an Interconnection Customer to comment on upgrades required for interconnection, issued 1/17/13. FERC held a workshop on 3/27/13. <u>Duke Energy submitted comments on 6/3/13; many other parties submitted comments during second quarter 2013.</u>	Q2 2013
AC13-1	DEC	Reporting Requirement for Test or Experimental Run Extending Beyond a Period of 90 Days for Cliffside Unit 6, per 18 CFR, Part 101, Electric Plant Instructions, 9.D: DEC submitted report on 3/6/13. <u>No activity in second quarter 2013.</u>	Q2 2013
AD08-7	Progress Energy	Notice of Inquiry (NOI) re methodology for the assessment of electric utility annual charges: FERC issued NOI on 4/21/08; Southern Companies and Progress Energy filed comments on 5/28/08; no activity since third quarter 2008.	Q2 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg-Condition 3.11
AD12-9, AD11-11	Duke Energy	Proposed policy statement on allocation of capacity for new merchant transmission projects and new non-merchant, cost-based, participant-funded transmission projects, issued on 7/19/12; Duke Energy filed comments on 9/24/12. FERC issued a Final Policy Statement on 1/17/13 which clarified and refined policies governing the allocation of capacity for new merchant transmission projects and new non-merchant, cost-based, participant-funded transmission projects. NRECA requested clarification and reconsideration on 2/19/13, which FERC subsequently denied on 3/21/13. (Closed 3/31/13)	Q1 2013
CP13-84	DEC, DEP	Texas Eastern Transmission, LP application for a certificate of public convenience and necessity for pipeline and related facilities re its Appalachia to Market 2014 Project, filed 2/27/13: DEC and DEP intervened on 4/1/13 to protect their interests.	Q2 2013
CP13-333	DEC	Transcontinental Gas Pipe Line Company, LLC (Transco) petition for approval for the partial abandonment of firm transportation service provided to the Piedmont Natural Gas Company under Transco's Rate Schedule FT, filed by Transco 4/30/13: DEC intervened on 5/15/13 to protect its interests.	Q2 2013
EL12-50	Duke Energy, DEC, DEBS	Complaint of FirstEnergy Companies against PJM Interconnection, L.L.C. alleging that provisions of PJM's Open Access Transmission Tariff (OATT) and Operating Agreement related to Auction Revenue Rights allocation process are unjust and unreasonable, filed on 3/26/12: Duke Energy intervened on 4/13/12; FERC order on 7/11/12 granted complaint and required PJM to submit a compliance filing within 60 days. No activity in second first quarter 2013.	Q2+ 2013

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Open FERC Dockets – SecondFirst Quarter 2013

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
EL12-54	Duke Energy, DEC, DEBS	Complaint of Viridity Energy, Inc. against PJM Interconnection, L.L.C. requesting limited and discrete modification to PJM's Open Access Transmission Tariff, filed on 3/29/12. Duke intervened on 4/4/12; Viridity amended complaint on 5/2/12; PJM answered amended complaint on 5/17/12; no activity in second st- quarter 2013.	Q2+ 2013
EL12-63	Duke Energy, DEC, DEBS	Complaint of Independent Market Monitor (IMM) for PJM (Monitoring Analytics, LLC) against an unnamed participant (Respondent) requesting that FERC direct Respondent to submit a Sell Offer in the Base Residual Auction opening 5/7/12 for the 2015/2016 Delivery Year: IMM complaint was filed on 5/1/12; comments and motions by various parties were submitted in second quarter 2012; no activity in second stt quarter 2013.	Q2+ 2013
EL12-66	Duke Energy, DEC, DEBS	Joint Complaint of Exelon Corp. and PSEG Companies requesting Fast Track Processing, providing supporting comments, and requesting consolidation of this docket with EL12-63, filed on 5/8/12: comments and motions were filed by various parties in second quarter 2012; no activity in second stt- quarter 2013.	Q2+ 2013
EL12-69	Duke Energy, DEC, DEBS	Complaint of Primary Power, LLC requesting Fast Track Processing and Emergency, Interim Relief, filed on 5/14/12: Duke Energy intervened on 5/21/12; FERC order denied complaint on 7/19/12; Primary Power requested rehearing on 8/20/12; FERC order granted request for rehearing for further consideration on 9/17/12; motions were submitted by various parties in fourth quarter 2012. Primary Power on 3/28/13 requested reconsideration and reversal of 7/19/12 order (which had denied earlier complaint against PJM for selecting 2 incumbent Transmission Owners rather than Primary Power to construct own, and finance two static VAR compensator projects). On 6/3/13 FERC issued an order on clarification, denying Primary Power's request for rehearing on its ability to recover compensation for its efforts to promote its proposal.	Q2+ 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
EL13-12	Duke Energy, DEC, PEC	<p>Complaint of Dominion Resources Services, Inc. requesting Fast Track Processing for PJM's failure to properly allocate certain charges for Day Ahead Operating Reserves, an unintended consequence of moving dispatch of certain generation units (to solve local reliability issues) from "Balancing Market" to "Day Ahead Market" to reduce overall cost of operating reserves. Duke Energy intervened on behalf of DEC and PEC on 11/7/12. FERC order dismissed complaint on 1/28/13. (Closed 3/31/13)</p>	Q1 2013
EL13-47	Duke Energy, DEC, DEPEC, DEBS	<p>Complaint of FirstEnergy Companies requesting Fast Track Processing and urging FERC to direct PJM to amend its Tariff and Operating Agreement so that holders of Financial Transmission Rights are no longer responsible for funding real-time congestion: Duke Energy intervened on 2/13/13; the NCUUC intervened on 3/14/13 and submitted comments on 3/18/13; NCEMC intervened and submitted comments on 3/18/13. Several parties filed comments, and PJM answered those comments in April 2013. On 6/5/13 FERC dismissed FirstEnergy's complaint but encouraged PJM to address loop flow issues in the appropriate venues. (Closed 6/30/13)</p>	Q2+ 2013
EL13-57	Duke Energy, Dec, DEP	<p>Complaint of Demand Response Coalition (DR Coalition) requesting Fast Track Processing and urging FERC to find the Demand Response Plan Enhancements, adopted on 3/28/13 based only on the vote of the Market and Reliability Committee, should have been filed with the Commission before adoption: DR Coalition submitted filing on 4/2/13; Duke Energy intervened 4/12/13; many other parties intervened as well. On 4/15/13 PJM filed its answer to the complaint. On 4/19/13 FERC granted the complaint, stating that changes proposed by PJM implement practices that significantly affect jurisdictional rates, terms and conditions of service, and accordingly should have been submitted for Commission approval. (Closed 6/30/13)</p>	Q2 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER09-1165	DEP	Annual update of DEP transmission formula rate in Joint OATT. Attachment H: DEP submitted informational filing on 5/15/13.	Q2 2013
ER10-1760 (DEPEG), ER10-2566 (DEC)	Duke Energy, DEPEG, DEC	Change in status filing under Market-Based Rate Tariffs to affirm that Duke Energy / Progress Energy merger did not affect MBR authority: Duke Energy made filing on 8/1/12 on behalf of all subsidiaries with MBR authority; FERC Letter Order on 10/18/12 accepted filing. Duke Energy submitted another Change in Status filing on 1/29/13, to reflect that DEC has added more than 100 MW of generation to its portfolio and to explain why the addition of DEPEG's new H.F. Lee Plant does not trigger a change in status; FERC letter order accepted filing on 2/27/13. (Closed 6/30/13)	Q2+ 2013
ER11-3585	DEC	Formula Rate Annual Update - Recalculation of Annual Transmission Revenue Requirement, an informational filing: on 6/29/12 and 2/20/13 DEC submitted corrections to its 5/15/12 Informational filing. On 5/15/13 DEC submitted its annual informational filing.	Q2+ 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER12-718	Duke Energy, DEC, DEBS	New York Independent System Operator, Inc. (NYISO) revision of its Operating Agreement with PJM Interconnection, L.L.C. to implement a Market-to-Market Coordination Process: Duke intervened on 5/3/12; FERC order conditionally accepted NYISO filing on 9/20/12 and required a compliance filing; NYISO submitted compliance filing on 10/22/12; FERC letter order accepted compliance filing on 12/12/12; PJM and NYISO submitted on 12/24/12 a joint petition for a limited waiver of sections 7 and 10 of Joint Operating Agreement Schedule D to permit the RTOs to temporarily suspend market-to-market coordination and settlement under 2 scenarios. FERC letter order on 1/14/13 granted PJM's and NYISO's request. (Closed 3/3/13) On 4/23/13 PJM and NYISO requested an extension until 8/15/13 of the temporary limited waiver. On 5/3/13 PJM and NYISO requested a temporary limited waiver of section 7.2.1 of Schedule D on an expedited basis, to remedy harm caused by increased congestion from the unplanned outage of I Ramapo phase angle regulator. On 5/30/13 FERC granted the 4/23/13 request.	Q2+ 2013
ER13-83	DEC, DEPEG	Amendment of Joint OATT Attachment N-1, "Transmission Planning Process (DEPEG Zone and DEC Zone)," in compliance with FERC Order No. 1000: DEC submitted filing for both companies on 10/11/12; various parties subsequently intervened in fourth quarter 2012; DEC and DEPEG filed an answer to protests of NCEMC and LS Power; FERC rejected the DEC/ DEPEG filing on 2/20/13; DEC and DEPEG subsequently requested rehearing or clarification on 3/25/13. FERC order granted rehearing for further consideration on 4/15/13. DEC and DEP submitted a compliance filing on 5/22/13; several parties intervened in June 2013.	Q2+ 2013

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Open FERC Dockets – ~~Second~~First Quarter 2013

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-90	Duke Energy, DEC, DEPEC	PJM Transmission Owners' revisions to PJM OATT, Schedule 12, re allocation of costs of transmission system expansions and enhancements, filed 10/11/12: Duke Energy intervened on behalf of DEC and DEPEC on 10/16/12; NCUUC intervened on 12/5/12. FERC order on 1/31/13 conditionally accepted PJM's proposal and suspended the proposed cost allocation methods for filing, subject to refund and to a future order on PJM's Order No. 1000 compliance filing (Docket ER13-198). On 3/22/13 FERC conditionally accepted PJM's Order No. 1000 compliance filing and required additional compliance filings in that docket and this one within 120 days. On 4/2/13 FERC issued errata to its 3/22/13 order. Several parties (including NCUUC) requested rehearing in April 2013, and on 5/15/13 FERC granted rehearing for further consideration.	Q2+ 2013
ER13-198	Duke Energy	PJM Interconnection, L.L.C. filing in compliance with Order No. 1000: Duke Energy submitted comments on 12/10/12; PJM submitted its Answer in response to comments of various parties on 1/29/13. On 3/22/13 FERC conditionally accepted PJM's Order No. 1000 compliance filing and required an additional compliance filing within 120 days. On 4/2/13 FERC issued errata to its 3/22/13 order. Several parties (including NCUUC) requested rehearing in April 2013, and on 5/15/13 FERC granted rehearing for further consideration. On 5/20/13 LS Power Transmission filed an answer to PJM's request for clarification of rehearing.	Q2+ 2013
ER13-305	Duke Energy; DEC, PEC	PJM Interconnection, L.L.C. revisions to Schedule 10.1 of Reliability Service Agreement Among Load Serving Entities in the PJM Region, to add a new Loadable Deliverability Area, filed on 11/2/12: Duke Energy intervened on behalf of DEC and PEC on 11/7/12; PJM answered comments on 12/14/12; FERC order accepted filing on 1/3/13. (Closed 3/31/13)	Q1 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-347	Duke Energy	PJM Interconnection, L.L.C. revisions to PJM OATT and Operating Agreement re Residual Zonal P. Duke Energy intervened 11/26/12. FERC letter order conditionally accepted PJM filing on 1/8/13 and required a compliance filing within 30 days. On 1/30/13 PJM submitted a compliance filing that describes how a Load-Serving Entity's non-nodal load is distributed to buses in a transmission zone for calculating load charges. On 4/1/13 FERC accepted PJMs 11/9/12 filing. On 4/30/13 PJM submitted a compliance filing, which FERC subsequently accepted on 6/17/13. (Closed 6/30/13)	Q2+ 2013
ER13-397	Duke Energy	PJM Interconnection, L.L.C. revisions to PJM OATT Schedule 12 to incorporate cost responsibility assignments for one baseline upgrade in the recent update to the Regional Transmission Expansion Plan (RTEP), filed on 11/16/12; Duke Energy intervened 11/28/12. FERC letter order accepted PJM filing on 2/5/13. (Closed 3/31/13)	Q1 2013
ER13-462	DEC	Amendment of Joint OATT Attachment C, "Methodology to Assess Available Transfer Capability (DEC Zone)" to remove the specific timeframe for Available Flowgate Capability calculation horizons, filed on 11/27/12. DEC submitted filing on 11/27/12 and an amendment on 1/4/13; FERC order accepted filings on 2/20/13. (Closed 3/31/13)	Q1 2013
ER13-481	Duke Energy; DEC, PEC	PJM Interconnection, L.L.C. revisions to PJM OATT and Operating Agreement to change the allocation methodology for Operating Reserve make-whole payments in PJM's Day-ahead Energy Market, filed on 11/30/12; Duke Energy intervened on behalf of DEC and PEC on 12/4/12; PJM answered comments on 12/21/12. FERC order accepted PJM filing on 1/28/13. (Closed 3/31/13)	Q1 2013
ER13-484	Duke Energy; DEC, PEC	PJM Interconnection, L.L.C. revisions to PJM OATT to modernize the <i>pro forma</i> insurance language in Attachment O (Form of Interconnection Service Agreement), Attachment P (Form of Interconnection Construction Service Agreement) and Attachment QG (Form of Upgrade Construction Service Agreement), filed on 11/30/12; Duke Energy intervened on behalf of DEC and PEC on 12/4/12; FERC order accepted PJM filing on 1/4/13. (Closed 3/31/13)	Q1 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-488	Duke Energy, DEC, DEPEG	PJM Interconnection, L.L.C. revision by EP Rock Springs, LLC of a new attachment to PJM OATT, Attachment H-23, formula rate to recover certain costs associated with limited transmission facilities EPRS owns, filed on 11/30/12 and amended on 12/7/12: Duke Energy intervened on behalf of DEC and DEPEG on 12/20/12. FERC order on 1/31/13 accepted and suspended the filing for the maximum suspension period and established hearing and settlement judge procedures; FERC order on 2/6/13 scheduled a settlement conference on 2/13/13. The Settlement Judge on 2/14/13 reported that the parties were exchanging information, and thus the settlement judge procedures were being continued. On 4/15/13 and 6/7/13 the Settlement Judge issued status reports recommending that settlement judge procedures continue.	Q2+ 2013
ER13-508	PEG	Certificate of Concurrence with Interconnection Service Agreement No. 3453 filed by Dominion NC Power on 11/30/12 in FERC Docket No. ER13-477, Rate Schedule No. 196, which replaces the Interchange Agreement between PEG and Dominion (Rate Schedule No. 96): PEG submitted filing 12/4/12. FERC letter order accepted filing on 1/29/13. (Closed 3/31/13)	Q1 2013
ER13-509	PEG	Cancellation of Interchange Agreement with Dominion NC Power (Rate Schedule No. 96), which is being replaced by an Interconnection Service Agreement (Rate Schedule No. 196): PEG submitted filing on 12/4/12. FERC letter order accepted filing on 1/29/13. (Closed 3/31/13)	Q1 2013
ER13-529	Duke Energy; DEC, PEG	PJM Interconnection, L.L.C. revisions to PJM OATT Attachment DD to modify the default Avoidable Cost Rates available for use in PJM's Reliability Pricing Model auctions, filed on 12/7/12: Duke Energy intervened on behalf of DEC and PEG on 12/14/12; FERC order accepted PJM filing on 2/4/13. (Closed 3/31/13).	Q1 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-535	Duke Energy, DEC, DEPE	PJM Interconnection, L.L.C. revisions to PJM OATT to implement stakeholder-driven revisions to the minimum offer price rule under PJM's Reliability Pricing Model, filed on 12/7/12. Duke Energy intervened on behalf of DEC and DEPE on 12/28/12. PJM answered comments of various parties on 1/8/13. On 2/5/13 FERC advised PJM that its initial filing was deficient, and PJM on 3/14/13 responded to questions raised. Various parties subsequently commented in first and second quarters 2013. On 5/2/13 FERC issued an order accepting in part and rejecting in part PJM's proposal. PJM submitted its compliance filing on 6/3/13, and several parties requested rehearing in May and June 2013. On 6/27/13 FERC granted rehearing for further consideration.	Q2+ 2013
ER13-576	DEC	Amendment of Joint OATT Attachment K, "The Independent Entity," Phase One filing that terminates responsibility of Midwest Independent Transmission System Operator to perform certain OATT-related functions on DEC transmission system; DEC submitted filing on 12/18/12; FERC order accepted filing on 1/24/13. (Closed 3/31/13)	Q1 2013
ER13-582	DEC	Amendment of Joint OATT Attachment K, "The Independent Entity," Phase Two filing that terminates responsibility of Midwest Independent Transmission System Operator to perform the remaining OATT-related functions on DEC transmission system; DEC submitted filing on 12/19/12; FERC order accepted filing on 1/24/13. (Closed 3/31/13)	Q1 2013
ER13-805	DEC	DEC OATT Service Agreement No. 210, NITSA with NCEMCO, revised to update network resources, in section 3 and the monthly loss calculation in Attachment F, effective 1/1/13; DEC submitted filing on 1/28/13; FERC letter order accepted filing on 3/22/13. (Closed 3/22/13)	Q1 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-809	DEC	DEC OATT Service Agreement Nos. 208 (revised NITSA) and 406 (revised Meter Agreement) with SCPSA and Nos. 447 (new NITSA) and 448 (new Meter Agreement) with Central EPC. DEC submitted filing on 1/28/13; FERC letter order accepted filing on 3/22/13. (Closed 3/22/13)	Q1 2013
ER13-827	PEG	PEG OATT Service Agreement No. 328, conditional firm point to point service agreement with NCEMC, effective 1/1/13. PEG submitted filing on 1/28/13; NCEMC intervened on 2/20/13; FERC letter order accepted filing on 3/14/13. (Closed 3/14/13)	Q1 2013
ER13-831	PEG	PEG OATT Service Agreement No. 326, new NITSA with French Broad EMC, to be effective 1/1/13. PEG submitted filing on 1/30/13; FERC letter order accepted filing on 3/11/13. (Closed 3/11/13)	Q1 2013
ER13-908	DEC, <u>DEPEG</u>	Southern Companies' Order No. 1000 Regional Compliance Filing, submitted 2/8/13. DEC and <u>DEPEG</u> intervened on 3/4/13. Additional parties intervened in second quarter 2013.	Q2+ 2013
ER13-959	DEC	Amendment of Joint OATT Schedule 10-B to correct calculation of DEC's Annual Transmission Revenue Requirement in its formula rate. DEC submitted filing on 2/20/13; CEPC and NCEMC intervened on 3/13/13. <u>FERC</u> accepted filing subject to conditions on 4/4/13. DEC submitted compliance filing 5/6/13. On 6/14/13 FERC accepted the compliance filing. (Closed 6/30/13)	Q2+ 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-1052	Duke Energy, DEC, <u>DEPEG</u>	PJM and MISO revisions to their Joint Operating Agreement to revise section 4.1 of the Congestion Management Process to calculate Market Flows using the same Per Generator Methodology used to calculate Firm Flow Entitlements, filed on 3/7/13: Duke Energy intervened on behalf of DEC and <u>DEPEG</u> on 3/27/13. On 5/6/13 FERC issued an order conditionally accepting the PJM/MISO filing, subject to a compliance filing. On 5/31/13 MISO submitted the required compliance filing, which FERC subsequently accepted on 6/28/13. (Closed 6/30/13)	Q2+ 2013
ER13-1054	Duke Energy, DEC, <u>DEPEG</u>	PJM and MISO revisions to their Joint Operating Agreement to revise section 4.1 of the Congestion Management Process to calculate Market Flows that use a method to scale loads for tagged import transactions in a manner similar to that currently used to scale generation for tagged export transactions, filed on 3/7/13: Duke Energy intervened on behalf of DEC and <u>DEPEG</u> on 3/27/13. On 5/6/13 FERC accepted the proposed revisions. (Closed 6/30/13)	Q2+ 2013
ER13-1164	Duke Energy	American Electric Power Service Corp. on behalf of Ohio Power Co. (AEP) revisions to Schedule 8.1 of PJM's Reliability Assurance Agreement (RAA) that specifies the wholesale charges to be assessed to Competitive Retail Electric Service (CRES) providers in Ohio for the Fixed Resource Requirement (FRR) capacity that AEP is required to make available, filed on 3/25/13: Duke Energy and Duke Energy Ohio (DEO) intervened on 4/15/13 to protect DEO's rights as an FRR entity in PJM. FERC accepted AEP filing on 5/23/13, and AEP made the required compliance filing on 6/20/13.	Q2 2013
<u>ER13-1309</u> ; <u>ER13-1311</u>	<u>DEC</u> , <u>DEP</u>	Revision of Joint OATT to reflect results of DEP depreciation study, effective 7/1/12: DEC and DEP submitted filings 4/19/13. FERC accepted filings on 6/12/13. (Closed 6/30/13)	Q2 2013

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Open FERC Dockets – ~~Second~~First Quarter 2013

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-1313	DEP	Revision of depreciation rates affecting the Power Supply and Coordination Agreement with the Public Works Commission of the City of Fayetteville, NC (FPWC). Rate Schedule No. 184. DEP submitted filing on 4/19/13 and on 4/25/13 corrected a statement in the filing letter. On 5/10/13 FPWC moved that FERC reject the filing, and DEP responded on 5/28/13. On 6/18/13 FERC accepted and suspended the rates for a nominal period and established settlement judge procedures in lieu of an evidentiary hearing. NCEMPA intervened on 6/25/13. On 6/27/13 FERC scheduled the first settlement conference for 7/17/13.	
ER13-1324	DEC	Amendment of Power Purchase and REPS Compliance Service Agreement with Town of Forest City, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger. DEC submitted filing on 4/24/13. FERC accepted filing on 5/31/13. (Closed 6/30/13)	Q2 2013
ER13-1357	DEP	Notice of Succession under new name Duke Energy Progress, Inc.: DEP submitted filing on 4/29/13. FERC accepted filing on 6/25/13, allowing DEP until 10/29/13 to re-file tariffs under the new name. (Closed 6/30/13)	Q2 2013
ER13-1367	DEC	Amendment of Full Requirements Power Purchase and REPS Compliance Service Agreement with Blue Ridge EMC, Rate Schedule No. 315, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 4/30/13; Blue Ridge intervened and filed support on 5/5/13. FERC accepted filing on 6/7/13. (Closed 6/30/13)	Q2 2013

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Open FERC Dockets – ~~Second~~First Quarter 2013

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
<u>ER13-1369</u>	DEC	<u>Amendment of Power Purchase Agreement with City of Greenwood, SC, Rate Schedule No. 334, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 4/30/13. FERC accepted filing on 6/7/13. (Closed 6/30/13)</u>	<u>Q2 2013</u>
<u>ER13-1432</u>	DEC	<u>Amendment of Power Purchase and REPS Compliance Service Agreement with City of Concord, NC, Rate Schedule No. 327, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 5/7/13. FERC accepted filing on 6/11/13. (Closed 6/30/13)</u>	<u>Q2 2013</u>
<u>ER13-1433</u>	DEC	<u>Amendment of Power Purchase and REPS Compliance Service Agreement with City of Kings Mountain, NC, Rate Schedule No. 331, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 5/7/13. FERC accepted filing on 6/11/13. (Closed 6/30/13)</u>	<u>Q2 2013</u>
<u>ER13-1553</u>	DEC	<u>Amendment of Partial Requirements and REPS Compliance Service Agreement with Rutherford EMC, Rate Schedule No. 317, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 5/24/13.</u>	<u>Q2 2013</u>
<u>ER13-1654</u>	Duke Energy, DEC, DEP	<u>PJM revisions to its OATT to define and clarify rules concerning the use of Up-to-Congestion Transactions and Virtual Transactions, to become effective 8/9/13. PJM submitted filing on 6/10/13. Duke Energy intervened on 6/17/13.</u>	<u>Q2 2013</u>

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Open FERC Dockets – ~~Second~~First Quarter 2013

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-1655	Duke Energy, DEC, DEP, DEBS	PJM revisions to its OATT to modify credit standards related to Virtual Transactions in PJM's Day-Ahead Energy Market to include mandatory bid credit screening for all market participants' Up-to Congestion Transactions, to become effective 9/1/13. PJM submitted filing on 6/10/13. Duke Energy intervened on 6/17/13.	Q2 2013
ER13-1722	DEC	Amendment of Power Purchase Agreement with Town of Due West, SC, Rate Schedule No. 329, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 6/18/13.	Q2 2013
ER13-1723	DEC	Amendment of Power Purchase Agreement with Town of Prosperity, SC, Rate Schedule No. 333, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 6/18/13.	Q2 2013
ER13-1725	DEC	Amendment of Power Purchase and REPS Compliance Service Agreement with Town of Highlands, NC, Rate Schedule No. 337, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 6/19/13.	Q2 2013
ER13-1727	DEC	Amendment of Power Purchase Agreement with Lockhart Power Company, Rate Schedule No. 332, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 6/19/13.	Q2 2013
ER13-1757	DEC	Amendment of Power Purchase Agreement with Western Carolina University, Rate Schedule No. 338, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 6/24/13.	Q2 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-1771	DEP	Cancellation of Agreement with French Broad EMC dated 6/24/82 under which DEP provided reserve capacity and backstand energy services related to the Capitoia hydro unit installed by French Broad. Rate Schedule No. 124 (replaced by a full requirements power supply and coordination agreement dated 2/1/04 which allows French Broad to offset wholesale sales with their Capitol hydro unit with no charges for backstand service: DEP submitted filing on 6/25/13.	Q2 2013
ER13-1782	DEP	Cancellation of Roxboro-Halifax Interconnection Agreement dated 3/25/71 with Virginia Electric & Power Co.. Rate Schedule No. 101, which expired under the terms of the agreement: DEP submitted filing on 6/25/13.	Q2 2013
ER13-1783	DEP	Revision of Network Integration Transmission Service Agreement, OATT Service Agreement No. 134, with NCEMC to address NCEMC's request for transmission credits: DEP submitted filing on 6/26/13.	Q2 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-1784	DEC	Amendment of Power Purchase and REPS Compliance Service Agreement with City of Dallas, NC, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 6/26/13.	Q2 2013
ER13-1829	DEC	Amendment of Full Requirements Power Purchase Agreement with Piedmont EMC, Rate Schedule No. 316, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 6/28/13.	Q2 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
FA11-6	Progress Energy	Audit of Progress Energy, Inc. compliance with cross-subsidization restrictions on affiliate transactions; accounting, recordkeeping and reporting requirements; Uniform System of Accounts; preservation of records for holding companies and service companies; and Form No. 60. FERC initiated audit 11/23/10; Progress submitted responses to data requests; FERC letter order approved audit findings and recommendations on 9/20/12, requiring compliance filings; Progress submitted its Implementation Plan on 10/22/12 and its quarterly status report on implementation of corrective items on 1/30/13. Progress requested an extension in April 2013 and then provided additional evidence to the auditor; after the auditor confirms that the evidence is sufficient, then Progress will prepare the final report showing all action items complete.	Q2+ 2013
APP13-20	DEC	Notice of Penalty for violation of Reliability Standards PRC-001-1-R1 and R4 and PRC-005-1-R1: North American Electric Reliability Corp. (NERC) submitted filing on 12/31/12, stating that DEC and SERC entered into a Settlement Agreement to resolve all outstanding issues. FERC notice advised that the Commission would not further review this matter on 1/30/13. (Closed 3/31/13)	Q1-2013
P-432	DEPEC	Walters Hydroelectric Project. Notice of License Name Change effective 4/29/13 (from Carolina Power & Light Company d/b/a Progress Energy Carolinas, Inc. to Duke Energy Progress, Inc. d/b/a Duke Energy Progress) was filed with FERC on 3/7/13.	Q2+ 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
P-2206	DEPEG	Yadkin-Pee Dee Hydroelectric Project – Relicensing: operating under annual licenses since expiration of prior license in 2008. Notice of License Name Change effective 4/29/13 (from Carolina Power & Light Company d/b/a Progress Energy Carolinas, Inc. to Duke Energy Progress, Inc. d/b/a Duke Energy Progress) was filed with FERC on 3/7/13. The issuance of the final Biological Opinion (BiOp) by the National Marine Fisheries Service (NMFS) was the only outstanding item requiring completion before FERC could issue a new license for the Project. A final BiOp was issued by NMFS on 4/29/13 with a finding of no jeopardy. DEP is currently reviewing the document and considering how to proceed. FERC now has everything needed to issue a new license.	Q2+ 2013
P-2211	Duke Energy	Markland Hydroelectric Project.	Q2+ 2013
P-2232	DEC	Catawba-Wateree Hydroelectric Project – Relicensing: operating under annual licenses since expiration of prior license in 2008. The issuance of the final <u>Biological Opinion (BiOp)</u> by the National Marine Fisheries Service (NMFS) was the only outstanding item requiring completion before FERC could issue a <u>new license for the Project.</u>	Q2+ 2013
P-2331	DEC	Ninety-Nine Islands Hydroelectric Project.	Q2+ 2013
P-2332	DEC	Gaston Shoals Hydroelectric Project.	Q2+ 2013
P-2380	DEPEG	Marshall Hydroelectric Project. Notice of License Name Change effective 4/29/13 (from Carolina Power & Light Company d/b/a Progress Energy Carolinas, Inc. to Duke Energy Progress, Inc. d/b/a Duke Energy Progress) was filed with FERC on 3/7/13.	Q2+ 2013
P-2503	DEC	Keowee-Toxaway Hydroelectric Project – Relicensing: current license expires in 2016; notice of intent was filed, and pre-application work is underway.	Q2+ 2013

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Open FERC Dockets – SecondFirst Quarter 2013

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
P-2601	DEC	Bryson Hydroelectric Project.	Q2+ 2013
P-2603	DEC	Franklin Hydroelectric Project.	Q2+ 2013
P-2619	DEC	Mission Hydroelectric Project.	Q2+ 2013
P-2686	DEC	West Fork Hydroelectric Project.	Q2+ 2013
P-2692	DEC	Nantahala Hydroelectric Project.	Q2+ 2013
P-2694	DEC	Queens Creek Hydroelectric Project.	Q2+ 2013
P-2698	DEC	East Fork Hydroelectric Project.	Q2+ 2013
P-2740	DEC	Bad Creek Hydroelectric Project.	Q2+ 2013
QF11-484	Duke Energy	Small Power Producer Qualifying Facility Status Self-Certification Application of CS Murphy Point, LLC, amended to reflect change in ownership (to include Duke Energy Corp.) on 1/17/13. (Closed 6/30/13)	Q2+ 2013
QF12-327	DEC	Qualifying Facility Status Self-Certification Application of Apple, Inc. for its fuel cell facility near Maiden, NC: DEC intervened on 2/26/13. (Closed 6/30/13)	Q2+ 2013
RP13-755	DEC, DEP	Texas Eastern Transmission, LP (TET) filing to modify the General Terms and Conditions of its Tariff to reflect its current business practices and to modify processes for contracting service and right of first refusal, filed by TET on 3/29/13. DEC and DEP intervened on 4/10/13 to protect their interests.	Q2 2013
RP13-758	DEC, DEP	East Tennessee Natural Gas, LLC (ETNG) filing to modify the General Terms and Conditions of its Tariff to reflect its current business practices and to modify processes for contracting service and right of first refusal, filed by ETNG on 3/29/13. DEC and DEP intervened on 4/16/13 to protect their interests.	Q2 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
RP13-760	DEC, DEP	Saltville Gas Storage, LLC (SGS) filing to modify the General Terms and Conditions of its Tariff to reflect its current business practices and to modify processes for contracting service and right of first refusal, filed by SGS on 3/29/13. DEC and DEP intervened on 4/10/13 to protect their interests.	Q2 2013
RP13-779	DEC, DEP	East Tennessee Natural Gas, LLC (ETNG) filing of a negotiated rate transaction with DEC, as asset manager for DEP, for firm transportation service under Rate Schedule FT-A, which reflects DEP's negotiated usage rate that was passed through to DEC, filed by ETNG on 4/4/13. DEC and DEP intervened on 4/13/13 to protect their interests.	Q2 2013
RP13-792	DEC, DEP	Transco proposed revision of the calculation of carrying charges under the Fuel Retention Adjustment provisions in Section 38 of the General Terms and Conditions of its tariff, filed by Transco on 4/12/13. DEC and DEP intervened on 4/24/13 to protect their interests.	Q2 2013
RP13-871	DEC, DEP	Transco firm transportation rates for Phase 2 of the Mid-South Expansion Project, filed by Transco on 5/1/13. DEC and DEP intervened on 5/9/13 to protect their interests.	Q2 2013
RP13-892	DEC	Revision of East Tennessee Natural Gas, LLC (ETNG) <i>pro forma</i> gas service agreements to allow more flexibility and thus reduce the number of shipper's service agreement that are non-conforming, filed by ETNG on 5/8/13. DEC intervened on 5/17/13 to protect the interests of an ETNG customer for which DEC is the asset manager.	Q2 2013
RP13-892	DEC	Revision of Saltville Gas Storage, LLC (SGS) <i>pro forma</i> gas service agreements to allow more flexibility and thus reduce the number of shipper's service agreement that are non-conforming, filed by ETNG on 5/8/13. DEC intervened on 5/17/13 to protect its interests.	Q2 2013

Attachment B

Periodic Reports Filed with FERC—~~Second~~First Quarter 2013

Docket No(s).	Filing Entity (Duke Energy, DEC, DEBS)	Description of Report
N/A	DEC, DEP	Form 1 – Annual Report of Major Electric Utilities and associated CPA certification
N/A	DEC, DEPEG	Form 3-Q – Quarterly Financial Report of Major Electric Utilities, Licensees and Others
AD12-8	DEG, PEG	Non-ISO/RTQ Performance Metrics Report
ER05-1236	DEC, DEPEG	Independent Entity Independent Market Monitor Reports submitted quarterly by Potomac Economics, Ltd
N/A	<u>Progress Energy Service Co., DEBS</u>	<u>Form 60 – Annual Report of Centralized Service Companies</u>
N/A	<u>Duke Energy, DEC, DEP</u>	<u>Form 552 – Annual Report of Natural Gas Transactions</u>
N/A	<u>Duke Energy</u>	<u>Form 561 – Annual Report of Interlocking Positions</u>
N/A	<u>DEC, DEP</u>	<u>FERC No. 582 – Annual Charges Report</u>
N/A	<u>DEC, DEP</u>	<u>Form 714 – Annual Electric Balancing Authority Area and Planning Area Report</u>
LA132-14	Duke Energy, DEC, DEPEG	Quarterly Generation Site Acquisition Report, per Order No. 697-C
OA07-53, OA08-100	<u>DEC, DEP</u>	<u>Annual Operational Penalty Assessments and Distributions Report</u>
N/A	DEG, PEG	Form 566, Twenty Largest Purchasers
RM94-14	DEG, PEG	Order No. 580 Decommisioning Report
N/A	<u>DEGDEP</u>	<u>Form 715, Annual Transmission Planning and Evaluation Report</u>

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
EC11-60	Duke Energy, Progress Energy, DEC, DEBS, DEP and PESC	Application of Duke Energy, Progress Energy, and their public utility subsidiaries for disposition of facilities under section 203 of the Federal Power Act: FMPPA, New Bern/Rocky Mount and Orangeburg requested rehearing on 7/9/12; Duke Energy and Progress Energy filed a Notice of Consummation of Merger on 7/11/12; and FERC order granted the requests for rehearing for further consideration on 8/8/12. No activity in second quarter 2013 other than Independent Monitor Reports filed.	Q2 2013
ER12-1338, ER12-1347, ER12-3306	DEC, DEP	Joint Dispatch Agreement (JDA) between DEC and DEP and the DEP Certificate of Concurrence with JDA: Orangeburg requested rehearing on 7/9/12; DEC / DEP filed compliance filings on 7/11/12; and FERC order granted the requests for rehearing for further consideration on 8/8/12. No activity in second quarter 2013.	Q2 2013
ER12-1343, ER12-1345, ER12-1346, ER11-3307	DEC, DEP	Joint Open Access Transmission Tariff (OATT) and the DEP Certificate of Concurrence with Joint OATT: Orangeburg requested rehearing on 7/9/12; DEC / DEP filed compliance filings on 7/11/12; FMPPA intervened on 8/11/12; FERC order granted the request for rehearing for further consideration on 8/8/12. No activity in second quarter 2013.	Q2 2013
RM12-4	Duke Energy	Notice of Proposed Rulemaking to approve NERC Reliability Standard FAC-003-2, Transmission Vegetation Management: Duke Energy submitted comments on 12/21/12. FERC issued Order No. 777 on 3/21/13, Final Rule expanding the applicability of FAC-003-2 to include certain transmission lines that operate at less than 200 kV that have been identified as having system limitations (i.e. voltage or transfer limits) that if violated could lead to system instability or cascading outages, 2) setting a new minimum annual inspection requirement and 3) incorporating new minimum clearance distances into the text of the standard, to become effective 5/28/13. FERC issued errata notice on 4/9/13 re deadline for NERC compliance filing.	Q2 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
RM12-10	Duke Energy	Solar Energy Industries Association petition to FERC to institute a rulemaking proceeding to update small generator interconnection rules and procedures for solar electric generation: Duke Energy submitted comments on 3/27/12; various parties intervened and commented during second and third quarters 2012; CA PUC submitted additional comments in fourth quarter 2012. FERC held a workshop on 3/27/13. Clean Coalition submitted comments on 6/3/13. Since FERC issued a Notice of Proposed Rulemaking in Docket RM13-2, this docket is considered closed. (Closed 6/30/13)	Q2 2013
RM12-22	Duke Energy	Notice of Proposed Rulemaking (NOPR) that directs NERC to submit for approval Reliability Standards addressing the impact of geomagnetic disturbances (GMD) on the reliable operation of the Bulk-Power System: NOPR was issued on 10/18/12; Duke Energy submitted comments on 12/21/12; various parties filed comments during first and second quarters 2013. On 5/16/13 FERC issued a Final Rule requiring NERC to develop reliability standards addressing the impact of GMD to ensure continued reliability of the bulk power system.	Q2 2013
RM13-2	Duke Energy	Notice of Proposed Rulemaking to revise the Small Generator Interconnection Procedures (SGIP) and Small Generator Interconnection Agreement in the <i>pro forma</i> OATT to 1) allow an Interconnection Customer to request a pre-application report about system conditions at possible Points of Interconnection, 2) revise the 2 MW threshold for participation in the Fast Track Process, 3) allow supplemental review following failure of Fast Track screens, and 4) revise the SGIP Facilities Study Agreement to allow an Interconnection Customer to comment on upgrades required for interconnection, issued 1/17/13. FERC held a workshop on 3/27/13. Duke Energy submitted comments on 6/3/13; many other parties submitted comments during second quarter 2013.	Q2 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
AC13-1	DEC	Reporting Requirement for Test or Experimental Run Extending Beyond a Period of 90 Days for Cliffside Unit 6, per 18 CFR, Part 101, Electric Plant Instructions, 9.D: DEC submitted report on 3/6/13. No activity in second quarter 2013.	Q2 2013
AD08-7	Progress Energy	Notice of Inquiry (NOI) re methodology for the assessment of electric utility annual charges: FERC issued NOI on 4/21/08; Southern Companies and Progress Energy filed comments on 5/28/08; no activity since third quarter 2008.	Q2 2013
CP13-84	DEC, DEP	Texas Eastern Transmission, LP application for a certificate of public convenience and necessity for pipeline and related facilities re its Appalachia to Market 2014 Project, filed 2/27/13: DEC and DEP intervened on 4/1/13 to protect their interests.	Q2 2013
CP13-333	DEC	Transcontinental Gas Pipe Line Company, LLC (Transco) petition for approval for the partial abandonment of firm transportation service provided to the Piedmont Natural Gas Company under Transco's Rate Schedule FT, filed by Transco 4/30/13: DEC intervened on 5/15/13 to protect its interests.	Q2 2013
EL12-50	Duke Energy, DEC, DEBS	Complaint of FirstEnergy Companies against PJM Interconnection, L.L.C. alleging that provisions of PJM's Open Access Transmission Tariff (OATT) and Operating Agreement related to Auction Revenue Rights allocation process are unjust and unreasonable, filed on 3/26/12: Duke Energy intervened on 4/13/12; FERC order on 7/11/12 granted complaint and required PJM to submit a compliance filing within 60 days. No activity in second quarter 2013.	Q2 2013
EL12-54	Duke Energy, DEC, DEBS	Complaint of Viridity Energy, Inc. against PJM Interconnection, L.L.C. requesting limited and discrete modification to PJM's Open Access Transmission Tariff, filed on 3/29/12: Duke intervened on 4/4/12; Viridity amended complaint on 5/2/12; PJM answered amended complaint on 5/17/12; no activity in second quarter 2013.	Q2 2013

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Open FERC Dockets – Second Quarter 2013

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
EL12-63	Duke Energy, DEC, DEBS	Complaint of Independent Market Monitor (IMM) for PJM (Monitoring Analytics, LLC) against an unnamed participant (Respondent) requesting that FERC direct Respondent to submit a Sell Offer in the Base Residual Auction opening 5/7/12 for the 2015/2016 Delivery Year: IMM complaint was filed on 5/1/12; comments and motions by various parties were submitted in second quarter 2012; no activity in second quarter 2013.	Q2 2013
EL12-66	Duke Energy, DEC, DEBS	Joint Complaint of Exelon Corp. and PSEG Companies requesting Fast Track Processing, providing supporting comments, and requesting consolidation of this docket with EL12-63, filed on 5/8/12: comments and motions were filed by various parties in second quarter 2012; no activity in second quarter 2013.	Q2 2013
EL12-69	Duke Energy, DEC, DEBS	Complaint of Primary Power, LLC requesting Fast Track Processing and Emergency, Interim Relief, filed on 5/14/12: Duke Energy intervened on 5/21/12; FERC order denied complaint on 7/19/12; Primary Power requested rehearing on 8/20/12; FERC order granted request for rehearing for further consideration on 9/17/12; motions were submitted by various parties in fourth quarter 2012. Primary Power on 3/28/13 requested reconsideration and reversal of 7/19/12 order (which had denied earlier complaint against PJM for selecting 2 incumbent Transmission Owners rather than Primary Power to construct own, and finance two static VAR compensator projects). On 6/3/13 FERC issued an order on clarification, denying Primary Power's request for rehearing on its ability to recover compensation for its efforts to promote its proposal.	Q2 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
EL13-47	Duke Energy, DEC, DEP, DEBS	Complaint of FirstEnergy Companies requesting Fast Track Processing and urging FERC to direct PJM to amend its Tariff and Operating Agreement so that holders of Financial Transmission Rights are no longer responsible for funding real-time congestion: Duke Energy intervened on 2/13/13; the NCUUC intervened on 3/14/13 and submitted comments on 3/18/13; NCEMC intervened and submitted comments on 3/18/13. Several parties filed comments, and PJM answered those comments in April 2013. On 6/5/13 FERC dismissed FirstEnergy's complaint but encouraged PJM to address loop flow issues in the appropriate venues. (Closed 6/30/13)	Q2 2013
EL13-57	Duke Energy, Dec, DEP	Complaint of Demand Response Coalition (DR Coalition) requesting Fast Track Processing and urging FERC to find the Demand Response Plan Enhancements, adopted on 3/28/13 based only on the vote of the Market and Reliability Committee, should have been filed with the Commission before adoption: DR Coalition submitted filing on 4/2/13; Duke Energy intervened 4/12/13; many other parties intervened as well. On 4/15/13 PJM filed its answer to the complaint. On 4/19/13 FERC granted the complaint, stating that changes proposed by PJM implement practices that significantly affect jurisdictional rates, terms and conditions of service, and accordingly should have been submitted for Commission approval. (Closed 6/30/13)	Q2 2013
ER09-1165	DEP	Annual update of DEP transmission formula rate in Joint OATT, Attachment H: DEP submitted informational filing on 5/15/13.	Q2 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER10-1760 (DEP), ER10-2566 (DEC)	Duke Energy, DEP, DEC	Change in status filing under Market-Based Rate Tariffs to affirm that Duke Energy / Progress Energy merger did not affect MBR authority. Duke Energy made filing on 8/1/12 on behalf of all subsidiaries with MBR authority; FEREC Letter Order on 10/18/12 accepted filing. Duke Energy submitted another Change in Status filing on 1/29/13, to reflect that DEC has added more than 100 MW of generation to its portfolio and to explain why the addition of DEP's new H.F. Lee Plant does not trigger a change in status; FEREC letter order accepted filing on 2/27/13. (Closed 6/30/13)	Q2 2013
ER11-3585	DEC	Formula Rate Annual Update - Recalculation of Annual Transmission Revenue Requirement, an informational filing: on 6/29/12 and 2/20/13 DEC submitted corrections to its 5/15/12 Informational filing. On 5/15/13 DEC submitted its annual informational filing.	Q2 2013
ER12-718	Duke Energy, DEC, DEBS	New York Independent System Operator, Inc. (NYISO) revision of its Operating Agreement with PJM Interconnection, L.L.C. to implement a Market-to-Market Coordination Process: Duke intervened on 5/3/12; FEREC order conditionally accepted NYISO filing on 9/20/12 and required a compliance filing; NYISO submitted compliance filing on 10/22/12; FEREC letter order accepted compliance filing on 12/12/12; PJM and NYISO submitted on 12/24/12 a joint petition for a limited waiver of sections 7 and 10 of Joint Operating Agreement Schedule D to permit the RTOs to temporarily suspend market-to-market coordination and settlement under 2 scenarios. FEREC letter order on 1/14/13 granted PJM's and NYISO's request. On 4/23/13 PJM and NYISO requested an extension until 8/15/13 of the temporary limited waiver. On 5/3/13 PJM and NYISO requested a temporary limited waiver of section 7.2.1 of Schedule D on an expedited basis, to remedy harm caused by increased congestion from the unplanned outage of 1 Ramapo phase angle regulator. On 5/30/13 FEREC granted the 4/23/13 request.	Q2 2013

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Open FERC Dockets – Second Quarter 2013

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-83	DEC, DEP	Amendment of Joint OATT Attachment N-1, "Transmission Planning Process (DEP Zone and DEC Zone)," in compliance with FERC Order No. 1000: DEC submitted filing for both companies on 10/11/12; various parties subsequently intervened in fourth quarter 2012; DEC and DEP filed an answer to protests of NCEMC and LS Power; FERC rejected the DEC/DEP filing on 2/20/13; DEC and DEP subsequently requested rehearing or clarification on 3/25/13. FERC order granted rehearing for further consideration on 4/15/13. DEC and DEP submitted a compliance filing on 5/22/13; several parties intervened in June 2013.	Q2 2013
ER13-90	Duke Energy, DEC, DEP	PJM Transmission Owners' revisions to PJM OATT, Schedule 12, re allocation of costs of transmission system expansions and enhancements, filed 10/11/12: Duke Energy intervened on behalf of DEC and DEP on 10/16/12; NCUUC intervened on 12/5/12. FERC order on 1/31/13 conditionally accepted PJM's proposal and suspended the proposed cost allocation methods for filing, subject to refund and to a future order on PJM's Order No. 1000 compliance filing (Docket ER13-198). On 3/22/13 FERC conditionally accepted PJM's Order No. 1000 compliance filing and required additional compliance filings in that docket and this one within 120 days. On 4/2/13 FERC issued errata to its 3/22/13 order. Several parties (including NCUUC) requested rehearing in April 2013, and on 5/15/13 FERC granted rehearing for further consideration.	Q2 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-198	Duke Energy	PJM Interconnection, L.L.C. filing in compliance with Order No. 1000: Duke Energy submitted comments on 12/10/12; PJM submitted its Answer in response to comments of various parties on 1/29/13. On 3/22/13 FERC conditionally accepted PJM's Order No. 1000 compliance filing and required an additional compliance filing within 120 days. . On 4/2/13 FERC issued errata to its 3/22/13 order. Several parties (including NCUC) requested rehearing in April 2013, and on 5/15/13 FERC granted rehearing for further consideration. On 5/20/13 LS Power Transmission filed an answer to PJM's request for clarification of rehearing.	Q2 2013
ER13-347	Duke Energy	PJM Interconnection, L.L.C. revisions to PJM OATT and Operating Agreement re Residual Zonal P. Duke Energy intervened 11/26/12. FERC letter order conditionally accepted PJM filing on 1/8/13 and required a compliance filing within 30 days. On 1/30/13 PJM submitted a compliance filing that describes how a Load-Serving Entity's non-nodal load is distributed to buses in a transmission zone for calculating load charges. On 4/1/13 FERC accepted PJM's 1/9/12 filing. On 4/30/13 PJM submitted a compliance filing, which FERC subsequently accepted on 6/17/13. (Closed 6/30/13)	Q2 2013
ER13-488	Duke Energy, DEC, DEP	PJM Interconnection, L.L.C. revision by EP Rock Springs, LLC of a new attachment to PJM OATT, Attachment H-23, formula rate to recover certain costs associated with limited transmission facilities EPRS owns, filed on 11/30/12 and amended on 12/7/12: Duke Energy intervened on behalf of DEC and DEP on 12/20/12. FERC order on 1/31/13 accepted and suspended the filing for the maximum suspension period and established hearing and settlement judge procedures; FERC order on 2/6/13 scheduled a settlement conference on 2/13/13. The Settlement Judge on 2/14/13 reported that the parties were exchanging information, and thus the settlement judge procedures were being continued. On 4/15/13 and 6/7/13 the Settlement Judge issued status reports recommending that settlement judge procedures continue.	Q2 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-535	Duke Energy, DEC, DEP	PJM Interconnection, L.L.C. revisions to PJM OATT to implement stakeholder-driven revisions to the minimum offer price rule under PJM's Reliability Pricing Model, filed on 12/7/12: Duke Energy intervened on behalf of DEC and DEP on 12/28/12. PJM answered comments of various parties on 1/8/13. On 2/5/13 FERC advised PJM that its initial filing was deficient, and PJM on 3/14/13 responded to questions raised. Various parties subsequently commented in first and second quarters 2013. On 5/2/13 FERC issued an order accepting in part and rejecting in part PJM's proposal. PJM submitted its compliance filing on 6/3/13, and several parties requested rehearing in May and June 2013. On 6/27/13 FERC granted rehearing for further consideration.	Q2 2013
ER13-908	DEC, DEP	Southern Companies' Order No. 1000 Regional Compliance Filing, submitted 2/8/13: DEC and DEP intervened on 3/4/13. Additional parties intervened in second quarter 2013.	Q2 2013
ER13-959	DEC	Amendment of Joint OATT Schedule 10-B to correct calculation of DEC's Annual Transmission Revenue Requirement in its formula rate: DEC submitted filing on 2/20/13; CEPCC and NCEMC intervened on 3/13/13. FERC accepted filing subject to conditions on 4/4/13. DEC submitted compliance filing 5/6/13. On 6/14/13 FERC accepted the compliance filing. (Closed 6/30/13)	Q2 2013
ER13-1052	Duke Energy, DEC, DEP	PJM and MISO revisions to their Joint Operating Agreement to revise section 4.1 of the Congestion Management Process to calculate Market Flows using the same Per Generator Methodology used to calculate Firm Flow Entitlements, filed on 3/7/13: Duke Energy intervened on behalf of DEC and DEP on 3/27/13. On 5/6/13 FERC issued an order conditionally accepting the PJM/MISO filing, subject to a compliance filing. On 5/31/13 MISO submitted the required compliance filing, which FERC subsequently accepted on 6/28/13. (Closed 6/30/13)	Q2 2013

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Open FEREC Dockets – Second Quarter 2013

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-1054	Duke Energy, DEC, DEP	PJM and MISO revisions to their Joint Operating Agreement to revise section 4.1 of the Congestion Management Process to calculate Market Flows that use a method to scale loads for tagged import transactions in a manner similar to that currently used to scale generation for tagged export transactions, filed on 3/7/13: Duke Energy intervened on behalf of DEC and DEP on 3/27/13. On 5/6/13 FEREC accepted the proposed revisions. (Closed 6/30/13)	Q2 2013
ER13-1164	Duke Energy	American Electric Power Service Corp. on behalf of Ohio Power Co. (AEP) revisions to Schedule 8.1 of PJM's Reliability Assurance Agreement (RAA) that specifies the wholesale charges to be assessed to Competitive Retail Electric Service (CRES) providers in Ohio for the Fixed Resource Requirement (FRR) capacity that AEP is required to make available, filed on 3/25/13: Duke Energy and Duke Energy Ohio (DEO) intervened on 4/15/13 to protect DEO's rights as an FRR entity in PJM. FEREC accepted AEP filing on 5/23/13, and AEP made the required compliance filing on 6/20/13.	Q2 2013
ER13-1309; ER13-1311	DEC, DEP	Revision of Joint OATT to reflect results of DEP depreciation study, effective 7/1/12: DEC and DEP submitted filings 4/19/13. FEREC accepted filings on 6/12/13. (Closed 6/30/13)	Q2 2013
ER13-1313	DEP	Revision of depreciation rates affecting the Power Supply and Coordination Agreement with the Public Works Commission of the City of Fayetteville, NC (FPWC), Rate Schedule No. 184: DEP submitted filing on 4/19/13 and on 4/25/13 corrected a statement in the filing letter. On 5/10/13 FPWC moved that FEREC reject the filing, and DEP responded on 5/28/13. On 6/18/13 FEREC accepted and suspended the rates for a nominal period and established settlement judge procedures in lieu of an evidentiary hearing. NCEMPA intervened on 6/25/13. On 6/27/13 FEREC scheduled the first settlement conference for 7/17/13.	

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-1324	DEC	Amendment of Power Purchase and REPS Compliance Service Agreement with Town of Forest City, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 4/24/13. FEREC accepted filing on 5/31/13. (Closed 6/30/13)	Q2 2013
ER13-1357	DEP	Notice of Succession under new name Duke Energy Progress, Inc.: DEP submitted filing on 4/29/13. FEREC accepted filing on 6/25/13, allowing DEP until 10/29/13 to re-file tariffs under the new name. (Closed 6/30/13)	Q2 2013
ER13-1367	DEC	Amendment of Full Requirements Power Purchase and REPS Compliance Service Agreement with Blue Ridge EMC, Rate Schedule No. 315, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 4/30/13; Blue Ridge intervened and filed support on 5/5/13. FEREC accepted filing on 6/7/13. (Closed 6/30/13)	Q2 2013
ER13-1369	DEC	Amendment of Power Purchase Agreement with City of Greenwood, SC, Rate Schedule No. 334, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 4/30/13. FEREC accepted filing on 6/7/13. (Closed 6/30/13)	Q2 2013
ER13-1432	DEC	Amendment of Power Purchase and REPS Compliance Service Agreement with City of Concord, NC, Rate Schedule No. 327, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 5/7/13. FEREC accepted filing on 6/11/13. (Closed 6/30/13)	Q2 2013

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Open FEREC Dockets – Second Quarter 2013

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-1433	DEC	Amendment of Power Purchase and REPS Compliance Service Agreement with City of Kings Mountain, NC, Rate Schedule No. 331, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 5/7/13. FEREC accepted filing on 6/11/13. (Closed 6/30/13)	Q2 2013
ER13-1553	DEC	Amendment of Partial Requirements and REPS Compliance Service Agreement with Rutherford EMC, Rate Schedule No. 317, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 5/24/13.	Q2 2013
ER13-1654	Duke Energy, DEC, DEP	PJM revisions to its OATT to define and clarify rules concerning the use of Up-to Congestion Transactions and Virtual Transactions, to become effective 8/9/13: PJM submitted filing on 6/10/13. Duke Energy intervened on 6/17/13.	Q2 2013
ER13-1655	Duke Energy, DEC, DEP, DEBS	PJM revisions to its OATT to modify credit standards related to Virtual Transactions in PJM's Day-Ahead Energy Market to include mandatory bid credit screening for all market participants' Up-to Congestion Transactions, to become effective 9/1/13: PJM submitted filing on 6/10/13. Duke Energy intervened on 6/17/13.	Q2 2013
ER13-1722	DEC	Amendment of Power Purchase Agreement with Town of Due West, SC, Rate Schedule No. 329, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 6/18/13.	Q2 2013
ER13-1723	DEC	Amendment of Power Purchase Agreement with Town of Prosperity, SC, Rate Schedule No. 333, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 6/18/13.	Q2 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-1725	DEC	Amendment of Power Purchase and REPS Compliance Service Agreement with Town of Highlands, NC, Rate Schedule No. 337, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 6/19/13.	Q2 2013
ER13-1727	DEC	Amendment of Power Purchase Agreement with Lockhart Power Company, Rate Schedule No. 332, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 6/19/13.	Q2 2013
ER13-1757	DEC	Amendment of Power Purchase Agreement with Western Carolina University, Rate Schedule No. 338, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 6/24/13.	Q2 2013
ER13-1771	DEP	Cancellation of Agreement with French Broad EMC dated 6/24/82 under which DEP provided reserve capacity and backstand energy services related to the Capicola hydro unit installed by French Broad, Rate Schedule No. 124 (replaced by a full requirements power supply and coordination agreement dated 2/1/04 which allows French Broad to offset wholesale sales with their Capitol hydro unit with no charges for backstand service: DEP submitted filing on 6/25/13.	Q2 2013
ER13-1782	DEP	Cancellation of Roxboro-Halifax Interconnection Agreement dated 3/25/71 with Virginia Electric & Power Co., Rate Schedule No. 101, which expired under the terms of the agreement: DEP submitted filing on 6/25/13.	Q2 2013
ER13-1783	DEP	Revision of Network Integration Transmission Service Agreement, OATT Service Agreement No. 134, with NCEMC to address NCEMC's request for transmission credits: DEP submitted filing on 6/26/13.	Q2 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-1784	DEC	Amendment of Power Purchase and REPS Compliance Service Agreement with City of Dallas, NC, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 6/26/13.	Q2 2013
ER13-1829	DEC	Amendment of Full Requirements Power Purchase Agreement with Piedmont EMC, Rate Schedule No. 316, effective 7/2/12, to incorporate provisions to protect customer from negative impacts following Duke Energy / Progress Energy merger: DEC submitted filing on 6/28/13.	Q2 2013
FA11-6	Progress Energy	Audit of Progress Energy, Inc. compliance with cross-subsidization restrictions on affiliate transactions; accounting, recordkeeping and reporting requirements; Uniform System of Accounts; preservation of records for holding companies and service companies; and Form No. 60: FERC initiated audit 11/23/10; Progress submitted responses to data requests; FERC letter order approved audit findings and recommendations on 9/20/12, requiring compliance filings; Progress submitted its Implementation Plan on 10/22/12 and its quarterly status report on implementation of corrective items on 1/30/13. Progress requested an extension in April 2013 and then provided additional evidence to the auditor; after the auditor confirms that the evidence is sufficient, then Progress will prepare the final report showing all action items complete.	Q2 2013
P-432	DEP	Walters Hydroelectric Project.	Q2 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
P-2206	DEP	Yadkin-Pee Dee Hydroelectric Project – Relicensing: operating under annual licenses since expiration of prior license in 2008. The issuance of the final Biological Opinion (BiOp) by the National Marine Fisheries Service (NMFS) was the only outstanding item requiring completion before FERC could issue a new license for the Project. A final BiOp was issued by NMFS on 4/29/13 with a finding of no jeopardy. DEP is currently reviewing the document and considering how to proceed. FERC now has everything needed to issue a new license.	Q2 2013
P-2211	Duke Energy	Markland Hydroelectric Project.	Q2 2013
P-2232	DEC	Catawba-Waterre Hydroelectric Project – Relicensing: operating under annual licenses since expiration of prior license in 2008. The issuance of the final Biological Opinion (BiOp) by the National Marine Fisheries Service (NMFS) was the only outstanding item requiring completion before FERC could issue a new license for the Project.	Q2 2013
P-2331	DEC	Ninety-Nine Islands Hydroelectric Project.	Q2 2013
P-2332	DEC	Gaston Shoals Hydroelectric Project.	Q2 2013
P-2380	DEP	Marshall Hydroelectric Project.	Q2 2013
P-2503	DEC	Keowee-Toxaway Hydroelectric Project – Relicensing: current license expires in 2016; notice of intent was filed, and pre-application work is underway.	Q2 2013
P-2601	DEC	Bryson Hydroelectric Project.	Q2 2013
P-2603	DEC	Franklin Hydroelectric Project.	Q2 2013
P-2619	DEC	Mission Hydroelectric Project.	Q2 2013
P-2686	DEC	West Fork Hydroelectric Project.	Q2 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
P-2692	DEC	Nantahala Hydroelectric Project.	Q2 2013
P-2694	DEC	Queens Creek Hydroelectric Project.	Q2 2013
P-2698	DEC	East Fork Hydroelectric Project.	Q2 2013
P-2740	DEC	Bad Creek Hydroelectric Project.	Q2 2013
QF11-484	Duke Energy	Small Power Producer Qualifying Facility Status Self-Certification Application of CS Murphy Point, LLC, amended to reflect change in ownership (to include Duke Energy Corp.) on 1/17/13. (Closed 6/30/13)	Q2 2013
QF12-327	DEC	Qualifying Facility Status Self-Certification Application of Apple, Inc. for its fuel cell facility near Maiden, NC: DEC intervened on 2/26/13. (Closed 6/30/13)	Q2 2013
RP13-755	DEC, DEP	Texas Eastern Transmission, LP (TET) filing to modify the General Terms and Conditions of its Tariff to reflect its current business practices and to modify processes for contracting service and right of first refusal, filed by TET on 3/29/13: DEC and DEP intervened on 4/10/13 to protect their interests.	Q2 2013
RP13-758	DEC, DEP	East Tennessee Natural Gas, LLC (ETNG) filing to modify the General Terms and Conditions of its Tariff to reflect its current business practices and to modify processes for contracting service and right of first refusal, filed by ETNG on 3/29/13: DEC and DEP intervened on 4/16/13 to protect their interests.	Q2 2013
RP13-760	DEC, DEP	Saltville Gas Storage, LLC (SGS) filing to modify the General Terms and Conditions of its Tariff to reflect its current business practices and to modify processes for contracting service and right of first refusal, filed by SGS on 3/29/13: DEC and DEP intervened on 4/10/13 to protect their interests.	Q2 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
RP13-779	DEC, DEP	East Tennessee Natural Gas, LLC (ETNG) filing of a negotiated rate transaction with DEC, as asset manager for DEP, for firm transportation service under Rate Schedule FT-A, which reflects DEP's negotiated usage rate that was passed through to DEC, filed by ETNG on 4/4/13: DEC and DEP intervened on 4/13/13 to protect their interests.	Q2 2013
RP13-792	DEC, DEP	Transco proposed revision of the calculation of carrying charges under the Fuel Retention Adjustment provisions in Section 38 of the General Terms and Conditions of its tariff, filed by Transco on 4/12/13: DEC and DEP intervened on 4/24/13 to protect their interests.	Q2 2013
RP13-871	DEC, DEP	Transco firm transportation rates for Phase 2 of the Mid-South Expansion Project, filed by Transco on 5/1/13: DEC and DEP intervened on 5/9/13 to protect their interests.	Q2 2013
RP13-892	DEC	Revision of East Tennessee Natural Gas, LLC (ETNG) <i>pro forma</i> gas service agreements to allow more flexibility and thus reduce the number of shipper's service agreement that are non-conforming, filed by ETNG on 5/8/13: DEC intervened on 5/17/13 to protect the interests of an ETNG customer for which DEC is the asset manager.	Q2 2013
RP13-892	DEC	Revision of Saltville Gas Storage, LLC (SGS) <i>pro forma</i> gas service agreements to allow more flexibility and thus reduce the number of shipper's service agreement that are non-conforming, filed by ETNG on 5/8/13: DEC intervened on 5/17/13 to protect its interests.	Q2 2013

Attachment B

Periodic Reports Filed with FERC—Second Quarter 2013

Docket No(s).	Filing Entity (Duke Energy, DEC, DEBS)	Description of Report
N/A	DEC, DEP	Form 1 – Annual Report of Major Electric Utilities and associated CPA certification
N/A	DEC, DEP	Form 3-Q – Quarterly Financial Report of Major Electric Utilities, Licensees and Others
ER05-1236	DEC, DEP	Independent Entity Independent Market Monitor Reports submitted quarterly by Potomac Economics, Ltd
N/A	Progress Energy Service Co., DEBS	Form 60 – Annual Report of Centralized Service Companies
N/A	Duke Energy, DEC, DEP	Form 552 – Annual Report of Natural Gas Transactions
N/A	Duke Energy	Form 561 – Annual Report of Interlocking Positions
N/A	DEC, DEP	FERC No. 582 – Annual Charges Report
N/A	DEC, DEP	Form 714 – Annual Electric Balancing Authority Area and Planning Area Report
LA13-1	Duke Energy, DEC, DEP	Quarterly Generation Site Acquisition Report, per Order No. 697-C
OA07-53, OA08-100	DEC, DEP	Annual Operational Penalty Assessments and Distributions Report
N/A	DEP	Form 715, Annual Transmission Planning and Evaluation Report